# Cryptanalysis of multivariate signatures from a geometric point of view

Can you find a large linear subspace in an algebraic set?

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# Motivation: Post Quantum Cryptography

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## NIST PQC Standardisation: Additional signatures

- Round 1: 11/40 schemes based on polynomial systems
- Round 2: 4/14 (UOV, MAYO, SNOVA, QR-UOV)

Main interest: short signatures and fast algorithms.

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$\lambda$	128	192	256

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## Multivariate cryptography

• Public key: a polynomial map from  $\mathbb{F}_q^n \mapsto \mathbb{F}_q^s$ :  $\mathbf{x} \mapsto \mathcal{P}(\mathbf{x}) = (p_1(\mathbf{x}), \dots, p_s(\mathbf{x}))$ 

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- Secret key: a way to find "preimages"  $\pmb{x} \in \mathbb{F}_q^n$  such that:  $\mathcal{P}(\pmb{x}) = \mathcal{H}(\textit{message})$

# Crash course on polynomial systems

# Algebra

The system  $\mathcal{P}(\mathbf{x}) = 0$  generates an ideal  $I = \langle p_1(\mathbf{x}), \dots, p_s(\mathbf{x}) \rangle$  $I := \{\sum_{i=1}^s a_i p_i(\mathbf{x}), (a_i) \in \mathbb{F}_q[\mathbf{x}]^s\}$ 

$$I = \langle x^2 - y^2 z^2 + z^3 \rangle \in \mathbb{R}[x, y, z]$$

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#### Geometry

This ideal defines a variety

$$V(I) = \{ \boldsymbol{x} \in \overline{\mathbb{F}}_q^n, \forall p \in I, p(\boldsymbol{x}) = 0 \}$$



V(I) in  $\mathbb{R}^3$ Image from [Cox, Little, O'Shea]

# A key geometric property: dimension

## Intuition of dimension from physics

 $p_1(\mathbf{x}), \ldots, p_s(\mathbf{x}) : s$  "independant" constraints, *n* variables  $\implies n - s$  degrees of freedom in V(I).

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Figure 1: A curve has dimension 1





#### **UOV Public key**

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[Patarin 1997]

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- Linear change of variables A such that  $\mathcal{P} = \mathcal{F} \circ A$ .
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#### Observations

- First s columns of the secret matrix  $A^{-1}$  span S.
- V(I) is a complete intersection if  $n \ge 2s$ .

#### Objective: Find $\mathcal{S}$ , the secret key.

# **1** What is special about S, compared to the rest of V(I) ?

## 2 What is special about V(I), compared to other varieties ?

# ${f 3}$ Can ${\cal S}$ be hidden with a perturbation or random equations?

# Open questions and future/on-going work

Let 
$$\operatorname{Jac}_{\mathcal{P}} := \begin{pmatrix} (\overrightarrow{\operatorname{grad}} p_1)^T \\ \vdots \\ (\overrightarrow{\operatorname{grad}} p_s)^T \end{pmatrix}$$
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 $x \in V(I)$  is regular if  $\operatorname{Jac}_{\mathcal{P}}(x)$  is full rank. The tangent space of V at  $x \in V$  is

$$T_{\mathbf{x}}V := \ker_r(\operatorname{Jac}_{\mathcal{P}}(\mathbf{x}))$$



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#### Algorithm

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#### **Computational approach**

• With  $B \in \mathbb{F}_q^{(n-s) \times n}$  a basis of  $T_x V$ , restrict  $\mathcal{P}$  to  $T_x V$ :  $\mathcal{P}_{|T_x V}(\mathbf{y}) = (\mathbf{y}^T B P_1 B^T \mathbf{y}, \dots, \mathbf{y}^T B P_s B^T \mathbf{y})$ 

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- Compute kernels of  $BP_iB^T$ , of large dimension if  $x \in S$ .

#### Main result: more than we bargained for



Given one vector  $x \in S$  and  $\mathcal{P}$ , compute a basis of S in polynomial-time  $O(sn^{\omega})$ ,  $2 \leq \omega \leq 3$ .

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[P. 2024]

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Security level	I	I		V
n, s	112, 44	160, 64	184, 72	244, 96
Time	1.7s	4.4s	5.7s	13.3s

In practice with SageMath on my laptop (2.80GHz, 8GB RAM).

see also: [Aulbach, Campos, Krämer, Samardjiska, Stöttinger 2023]

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#### Limit: locality of the UOV secret

With this, the points of  $V(I) \setminus S$  give **no information** on S.

see also: [Aulbach, Campos, Krämer, Samardjiska, Stöttinger 2023]

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#### Definition

Let  $I = \langle \mathcal{P} \rangle$  be a radical ideal of  $\mathbb{K}[x_1, \ldots, x_n]$  of codimension s.  $\mathbf{x} \in V(I) \setminus \{0\}$  is singular if  $\operatorname{Jac}_{\mathcal{P}}(\mathbf{x})$  has rank less than s.

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## Secret Jacobian

The Jacobian of  $\mathcal{F}(\mathbf{x})$  has a special shape :

$$\operatorname{Jac}_{\mathcal{F}}(\boldsymbol{x}) = \begin{bmatrix} J_1 & J_2 \\ 1 \cdots s & s+1 \cdots s \end{bmatrix}$$

Where  $J_1 \in \mathbb{F}_q[x_{s+1}, \ldots, x_n]^{s \times s}$  and  $J_2 \in \mathbb{F}_q[x_1, \ldots, x_n]^{s \times n-s}$ .

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Singular points  $\iff$  Rank defects in the Jacobian.

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- If  $J_2$  is generic, rank defects should be caused **only** by  $J_1$
- In other words, if *F*(*x*) is generic among UOV secret keys, singularities should be caused only by *S*.

1

## The right tool for the job

Generic varieties are smooth  $\rightarrow$  generic points of V(I) should be smooth for the same reason.

<sup>&</sup>lt;sup>1</sup>This formulation is due to [Safey el Din, Schost 2016].

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Thom's weak transversality theorem (in characteristic 0)<sup>1</sup>

Consider 
$$\Phi : \begin{cases} \mathbb{F}^n \times \mathbb{F}^d \to \mathbb{F}^s \\ \mathbf{x}, \mathcal{P} \mapsto \mathcal{P}(\mathbf{x}) \end{cases}$$
 and  $\mathcal{O} \neq \emptyset$  a Zariski open set.

If  $\Phi$  is **non-singular** on  $\mathcal{O} \times \mathbb{F}^d$ ,

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Difficulty: lifting to positive characteristic.

Generic smoothness of a singular variety	[P. 2025]
For a generic UOV variety, $Sing(V(I)) \subset S$ (in $\mathbb{Q}$ and	$\mathbb{F}_{p}, p \gg 1$ ).

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The Gröbner bases we obtain are special: they contain linear polynomials defining  $\mathcal{S}$ .



#### Geometric interpretation

Even in small characteristic,  $Sing(V(I)) \cap S$  is the component of highest dimension of Sing(V(I)).

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#### Geometric interpretation of an old attack

[Kipnis-Shamir 1998] is a (hybrid) singular point computation. Support heuristic analysis by relying on Thom's theorem and by estimating  $|\text{Sing}(V(I))|_{\mathbb{F}_q}$  with the Lang-Weil bound. Objective: Find  $\mathcal{S}$ , the secret key.

1 What is special about S, compared to the rest of V(I)?

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## **3** Can S be hidden with a perturbation or random equations?

Open questions and future/on-going work

**UOV** $\hat{+}$  [Faugère, Macario-Rat, Patarin, Perret 2022] Start with a UOV secret key, replace  $t \leq 8$  polynomials by random polynomials, and mix.  $\mathcal{P} = \mathcal{R} \circ \mathcal{F} \circ A$ Idea: Tradeoff between signing time and key size. **UOV** $\hat{+}$  [Faugère, Macario-Rat, Patarin, Perret 2022] Start with a UOV secret key, replace  $t \leq 8$  polynomials by random polynomials, and mix.  $\mathcal{P} = \mathcal{R} \circ \mathcal{F} \circ A$ Idea: Tradeoff between signing time and key size.

When t increases, signing time increases. t = 0 is UOV.

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## Security assumption

Let  $\mathcal{P}$  be a UOV $\hat{+}$  public key defining an ideal  $I = \langle p_1, \ldots, p_s \rangle$ .  $S \not\subset V(I)$ , therefore key attacks on UOV $\hat{+}$  must invert  $\mathcal{R}$ .

$$\mathcal{P} = \mathcal{R} \circ \mathcal{F} \circ \mathcal{A}$$

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#### **Geometric interpretation**

V(I) is the intersection of a UOV variety with t generic quadrics.

$$J = \langle f_1, \dots, f_t \rangle$$
$$V(I) = \underbrace{V(J)}_{\text{Generic quadrics}} \cap \underbrace{V(\hat{i})}_{\text{UOV variety}}$$

# Underlying UOV Jacobian Jacobian of $\mathcal{F}$ when $\mathbf{x} \in \mathcal{S}$ : $Jac_{\mathcal{F}}(\mathbf{x}) = \begin{bmatrix} J_1 \\ 0 \\ J_2 \end{bmatrix} \begin{bmatrix} t+1 \\ \vdots \\ s \end{bmatrix}$



## Observation

The singular locus of V(I) contains  $(\operatorname{Sing} V(\hat{i})) \cap V(J)$ .



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## **Dimension computation**

 $\hat{+}$  reduces the dimension of the singular locus by at most **2***t*.
# $\operatorname{Sing}(V(I)) \subset \operatorname{Sing}(V(\hat{\mathfrak{l}})) \subset \mathcal{S}$

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#### Singular points of V(I)

# $\approx q^{3s-2t-n-1}$ singular points of V(I), and $\mathcal{P}(\mathbf{x}) = 0$ .

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 $\rightarrow$  Can we decide  $\mathbf{x} \in S$  faster than  $O(q^t n^{\omega})$  ?

#### Tangent spaces again

 $\mathbf{x} \in \mathcal{S} \implies \mathcal{S} \cap T_{\mathbf{x}} V$  large dimension



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 $\mathcal{P}_{|T_xV}(\mathbf{x})$  is a UOV+ instance with *s* equations but n - s + 1 variables and an s - t dimensional UOV trapdoor.

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#### Distinguisher

 $x \in S \implies V(\mathcal{P}_{|T_xV}(x))$  has constant codimension. Solved in polynomial time.

# Application: New attack on UOV $\hat{+}/VOX$

# $x \in S$ ? in polynomial time[P. 2025]Decide $x \in S$ ? in $O(\binom{n-2s+2t-3}{4}^2 \binom{n-2s+2t+1}{2}).$

# Application: New attack on UOV + /VOX



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$$O(q^{n-2s+2t}n^{\omega})$$

<sup>2</sup> [Cogliati, Faugère, Fouque, Goubin, Larrieu, Macario-Rat, Minaud, Patarin, 2023]

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#### Practical results and bit complexity

Parameters	I		V
log <sub>2</sub> gates	39	41	43
Timing on my laptop	1.8s	5.5s	15.4s

**Figure 3:**  $x \in S$ ? with molve on UOV<sup>+</sup>.

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**Figure 3:**  $x \in S$ ? with msolve on UOV $\hat{+}$ .

We add  $\log_2(q) \times (n-2s+t)$  to obtain the full cost:

Parameters	I		V
Security level (log <sub>2</sub> gates)	143	207	272
Kipnis-Shamir (log <sub>2</sub> gates)	166	233	313
This work (log <sub>2</sub> gates)	140	188	243

Figure 4: Full attack on UOV +.

- 1 What is special about S, compared to the rest of V(I)?
- 2 What is special about V(I), compared to other varieties ?
- 3 Can S be hidden with a perturbation or random equations?
- **4** Open questions and future/on-going work

Let 
$$\delta(n, s, r) = (r + 1)(n - r) - s\binom{r+2}{2}$$

The Debarre and Manivel Bound<sup>3</sup> [Debarre, Manivel 1998]

Let X be a generic complete intersection of s quadrics of rank n.

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#### Application to UOV

If  $\alpha = \frac{n}{s}$  is a constant, then a UOV secret is characterized by a constant number of polynomials from the public key. For practical parameters, 3 or 4 polynomials are enough.

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Two possible directions:

Solving underdetermined polynomial systems

Computing the largest subspace in generic complete intersections.

 $\rightarrow$  improves forgery attacks against UOV.

Original key recovery attacks against UOV

Computing the smallest non-generic subspace in a UOV variety.

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Algorithms using this approach for systems  $\frac{n}{s} = \frac{5}{2}$ 

- [Thomae, Wolf 2012] step **a** in polynomial time for k = 1.
- (WIP) [Reid 72]: step a in prob. polynomial time for k = 2.

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Debarre and Manivel: maximal possible value for k generically.

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- Does step a become more expensive than step b?

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- Singular points require  $\frac{m}{2} + 1$  polynomials: does not achieve the bound.

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#### Challenge

How to choose  $\Pi$  so that it is easy to compute the polar variety when  ${\mathcal S}$  is unknown?

 $\to$  Easy to distinguish UOV from generic systems with polar varieties... when  ${\cal S}$  is known.

## Thank you for your attention!

One vector to full key recovery in polynomial time PQC '24

From **one vector** in  $\mathcal{S}$ , return a basis of  $\mathcal{S}$  in polynomial time.

#### Singular points of UOV and UOV $\hat{+}$

Eurocrypt '25

- V(I) has a large singular locus.
- Singular points of UOV $\hat{+}$  yield faster attacks.
- Key recovery from one vector for UOV $\hat{+}$  in polynomial time.

#### Future/On-going work

Find efficient algorithms to achieve the Debarre and Manivel bound.

- In the generic case, as a precomputation for solving systems.
- In the UOV case, as key recovery attacks.

Level	q, o, v, t	epk gain vs UOV
I	251, 48, 55, 6	36%
	1021, 70, 79, 7	44%
V	4093, 96, 107, 8	27%


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## VOX: QR-UOV $\hat{+}$

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### MinRank attacks on the big field instance of VOX

- Initial parameters are not secure
  [Furue, Ikematsu 2023]
- Practical attack on all new parameters

[Guo, Ding 2024]

### Practical attack on VOX

#### **Dimension computation**

 $UOV+(q^{\ell}, m/\ell, n/\ell, m, t)$  defines a variety that contains  $S_t$  but it should be the empty variety for a generic system.

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[P. 2024b]

**Practical** key recovery attack on the big field instance and use of subfields  $\mathbb{F}_{q^{\ell'}} \subset \mathbb{F}_{q^{\ell}}$  to attack a subset of new parameters.

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P. 2024b]

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Parameters	I	lc		Illa	V	Vb
l	6	9	7	15	8	14
$\ell'$	6	3	7	5	8	7
time	0.29s	2 <sup>67</sup> gates <sup>4</sup>	1.35s	56.7s	0.56s	6.11s

Figure 5: Timing for the subfield attack on QR-UOV $\hat{+}$  on my laptop.

<sup>&</sup>lt;sup>4</sup>400 CPU-hours on a server in practice.